

*All gists in the following extract have been double-underlined



Data Authorisation Form

Introduction

Data Authorisation Forms are designed to ensure that the Service's bulk data acquisitions are necessary and proportionate. In legal terms, bulk data acquisitions are defined as:

Datasets acquired under Section 2 (2)(a) of the Security Service Act 1989 or Sections 2(2)(a) or 4(2)(a) of the Intelligence Services Act 1994 which contain data about a wide range of individuals including [adverse] data about individuals who are not of direct intelligence interest.

Authorisations **are** required where a bulk dataset:

- is likely to include large amounts of superfluous or non-targeted data
- includes data about individuals of no intelligence interest that may be of a personal or sensitive nature
- has not been acquired under an existing oversight mechanism e.g. RIPA
- has been generated by any external organisation or partner agency and exhibits the above characteristics

Authorisations **are not** required where a bulk dataset:

- relates to a targeted individual or has been acquired under an existing oversight mechanism e.g. RIPA

Section 1: Business Justification

Dataset Codename and File Reference:		Data Owner: (Designation + Staff No):	
Supplier Organisation (Where applicable)			
Brief Description of Source:			
Proposed frequency of update:			
Proposed Destination System: [redacted]			
Seeking authorisation to share	☐ Yes ☐ No		
Protective Markings			
National Caveat [redacted]			
Review Period			

Please explain the necessity and proportionality of the acquisition covering the following points:

- How will the data be used?
- What results or benefit do you expect it to provide?
- Are there alternative means of achieving the same results?
- What JIC requirements this meets?

Does the dataset contain personal Data?

(Provide further details in adjacent box)

☐ **Identifying Personal Data**
(Passport, National ID, etc)

☐ **Identify Information about activities**
(Travel)

☐ **Sensitive Personal Data**
(Financial, medical, religious, political, legal)

☐ **Other**

Data on UK Nationals:	Yes ☐ No ☐
Data on minors	Yes ☐ No ☐

Explain how the level of actual and collateral intrusion is being addressed:

- Actual Intrusion: the intrusion of/or interference with privacy caused by accessing personal data as a result of analysis
- Collateral Intrusion: the intrusion or interference with privacy caused by holding the dataset in our analytical systems, prior to any action taken by an analyst

Classification of Actual Intrusion:

Classification of Collateral Intrusion:

Business Case Sign Off (To be completed by Acquisition Officer)

(Designation /Staff Number:	
Date	

Business Case Approval (To be completed by a senior SIS official or Data Owner)

I am satisfied:

- that the use of this dataset is necessary
- that the use of this dataset is proportionate to what is sought to be achieved
- that satisfactory arrangements exist for ensuring proper management and protection of the data

**(Designation /Staff
Number:**

Date

Section 2: Information Assurance

Please comment on the following

- Intrusion
- Proportionality
- Necessity

Does holding this dataset have the potential to cause political embarrassment or reputational damage to the Service and its partners?

Overall classification of Corporate Risk

Please Select

Legal Adviser Sign Off

I authorise the acquisition and exploitation of this dataset. I am satisfied that holding this data complies with the Intelligence Services Act 1994, Data Protection Act 1998 and Human Rights Act 1998:

(Designation /Staff Number:

Date